

**GATEWAY DEVELOPMENT
COMMISSION**

THE GATEWAY DEVELOPMENT COMMISSION

July 18, 2023

ADDENDUM NO. 3

TO PROSPECTIVE RESPONDENTS **GDC23-003** –Hudson River Ground Stabilization Request for Qualification (“RFQ”)

This constitutes Addendum No. 3 to The Gateway Development Commission, Hudson River Ground Stabilization project - RFQ# GDC23-003.

The following changes are hereby made in the Request for Qualifications for the subject Contract.

Specialty Subcontractor Clarifications

RFQ Section	Change
5.1 Respondent Team Organization	The third bullet is edited to delete “or Specialty Subcontractor”: “Each Principal Participant or Specialty Subcontractor ”
5.2 Non-exclusivity of Certain Respondent Team Members	All language in this section 5.2 is deleted and the section header is replaced with “Not Used.”
9.2.3 (b) Technical Submittals – Evaluation Criteria	The sub-criteria category is edited to delete “Specialty”: “ Specialty -Subcontractors Experience”
Definitions	The definitions for “Specialty Service” and “Specialty Subcontractor” are deleted.
Appendix 9, Section 4(b)	The section is edited to delete “including any Specialty Subcontractors”: “The Respondent shall submit a completed Form T2 to provide information regarding the Principal Participant subcontractors that the Respondent plans to use to perform work on the Project and have been identified as of the date of the SOQ, including any Specialty Subcontractors. ”
Form A-1	The fourth table header is edited to delete “Specialty Subcontractors and other”: Identified Specialty Subcontractors and other Subcontractors

Appendix 7: SOQ Checklist

The following minor corrections are made in Exhibit 7, SOQ Checklist, as noted in red font. These changes to the SOQ Checklist have been made to ensure alignment with the other sections of the RFQ and do not change or add new requirements to the RFQ.

GDC23-003 –Hudson River Ground Stabilization RFQ

Addendum #3

July 18, 2023

Required SOQ Submittal	RFQ Reference	Page Limit (if any)	SOQ Reference
SOQ Volume 1 - Administrative Submittals			
SOQ Checklist (<u>Appendix 7</u>)	<u>Appendix 8, Section 1</u>	-	
SOQ Submittal Letter (<u>Form A-3</u>)	<u>Appendix 8, Section 2</u>	-	
Updated Administrative Submittals included in the Registration Submittals (if any)	<u>Appendix 8, Section 3</u>	-	
Certification and Legal Qualifications (<u>Form A-4</u>)	<u>Appendix 8, Section 4</u>	-	
Agreement on Terms of Discussion (<u>Form A-5</u>)	<u>Appendix 8, Section 5</u>	-	
SOQ Volume 2 – Technical Submittals			
SOQ Checklist (<u>Appendix 7</u>)	<u>Appendix 9, Section 1</u>	-	
Project Descriptions (<u>Form T-1</u>)	<u>Appendix 9, Section 2(b) 4(a)</u>	3 pages per project	
Subcontractor Information (<u>Form T-2</u>)	<u>Appendix 9, Section 2(e) 4(b)</u>	Maximum of 3 projects and no more than 1 page for each subcontractor	
Past Performance (<u>Form T-4</u>)	<u>Appendix 9, Section 2(d) 4(c)</u>	-	
Safety Questionnaire (<u>Form T-5</u>)	<u>Appendix 9, Section 2(e) 4(d)</u>	-	
DBE Performance Record (<u>Form T-6</u>)	<u>Appendix 9, Section 2(f) 4(e)</u>	-	
Key Personnel Experience (<u>Form T-3</u>)	<u>Appendix 9, Section 2(c)</u>	1 form per Key Personnel with no more than 4 pages per individual	
Respondent Experience Summary	<u>Appendix 9, Section 3</u>	3 pages	
Respondent Organization and Management Approach	<u>Appendix 6, Section 1(d); Appendix 8, Section 3; Appendix 9, Section 2</u>	10 for Respondent Organization; 10 for Management Approach	
Project Understanding	<u>Appendix 9, Section 5(a)</u>	10 for Project Understanding	

GDC23-003 –Hudson River Ground Stabilization RFQ

Addendum #3

July 18, 2023

Required SOQ Submittal	RFQ Reference	Page Limit (if any)	SOQ Reference
Conceptual Work Plans	<u>Appendix 9, Section 5(b)</u>	10 for all Conceptual Work Plans	
SOQ Volume 3 – Confidential Technical Submittals (if used)			
Technical Submittals deemed to be Confidential Respondent Information (if any)	<u>RFQ Section 6.2(e) 4.5</u>	- *	
Index of all Technical Submittals contained in <u>SOQ Volume 3</u>	<u>RFQ Section 6.2(e) 4.5</u>	- *	
Statement signed by the Respondent or relevant team member explaining its rationale for designating the Technical Submittals in <u>SOQ Volume 3</u> as Confidential Respondent Information	<u>RFQ Section 6.2(e) 4.5</u>	- *	
SOQ Volume 4 – Financial Submittals			
SOQ Checklist (<u>Appendix 7</u>)	<u>Appendix 8, Section 1;</u> <u>Appendix 10, Section 1</u>	-	
Copy of Respondent Organizational Chart	<u>Appendix 6, Section 1(d);</u> <u>Appendix 8, Section 3;</u> <u>Appendix 10, Section 2</u>	-	
Financial Officer’s Certificates (<u>Form F-1</u>)	<u>Appendix 10, Section 3</u>	-	
Updated or new financial statements (if any)	<u>Appendix 10, Section 4</u>	-	
Surety Letter(s)	<u>Appendix 10, Section 5</u>	-	
SOQ Volume 5 – Confidential Financial Submittals (if used)			
Financial Submittals deemed to be Confidential Respondent Information (if any)	<u>RFQ Section 6.2(e) 4.5</u>	-	
Index of all Financial Submittals contained in <u>SOQ Volume 5</u>	<u>RFQ Section 6.2(e) 4.5</u>	-	
Statement signed by the Respondent or relevant team member explaining its rationale for designating the Financial Submittals in <u>SOQ Volume 5</u> as Confidential Respondent Information	<u>RFQ Section 6.2(e) 4.5</u>	-	

* The Page limits outlined in Appendix 7 for the SOQ Volume 2 – Technical Submittals apply cumulatively to information submitted in Volume 2 and Volume 3 Confidential Technical Submittals.

Section 9.1 – Evaluation Process Overview

The SOQ evaluation process will include the following:

- (a) Responsiveness Review – The Commission will evaluate each SOQ for responsiveness based on pass/fail criteria, as described in Section 9.2.1;
- (b) Financial Submittals Evaluation – The Commission will evaluate the Financial Submittals in each SOQ based on pass/fail criteria, as described in Section 9.2.4;
- (c) Technical Submittals Evaluation – The Commission will evaluate ~~the Technical Submittals in each SOQ based (i) on pass/fail criteria, as described in Section 9.2.3(a) and (ii) on scored criteria and will be comparatively evaluated~~ SOQs that obtained a pass in the precedent steps (a) and (b) in respect of each scored criterion, as described in Section 9.2.3; and
- (d) SOQ Scoring and Shortlisting – The Commission will determine the total score for each SOQ by summing the points scored for each of the technical evaluation criteria. The Commission will select up to five Respondents in the predetermined competitive range that have achieved the highest total SOQ scores to be the Shortlisted Respondents, provided that, they have (i) passed all pass/fail evaluation criteria in Section 9.2.1 and Section 9.2.4, and been determined to be responsive to the requirements in this RFQ in accordance with, ~~Section 9.2.1, (ii) passed all technical pass/fail evaluation criteria in Section 9.2.3(a), and (iii) passed all financial pass/fail evaluation criteria in Section 9.2.4.~~

Section 9.2.3 –Technical Submittals – Evaluation Criteria

~~(a) Technical Submittals – Pass/Fail Criteria~~

~~The Commission will evaluate the technical qualifications of each Respondent to successfully deliver the Project, as evidenced by its Technical Submittals, based on the pass/fail evaluation criteria listed below:~~

- ~~(i) Legal Standing~~
- ~~(ii) Financial Strength~~
- ~~(iii) Backlog and Capacity~~
- ~~(iv) Vendor Responsibility~~

~~(b) Technical Submittals – Scored Criteria~~

GDC23-003 –Hudson River Ground Stabilization RFQ

Addendum #3

July 18, 2023

All other RFQ requirements remain the same and the SOQ Due Date is still July 26, 2023 at 2:00PM.

Each Respondent shall acknowledge in its SOQ Submittal Letter (Form A-3) receipt of all Addenda. In case any Respondent fails to conform to these instructions, the Respondent's SOQ will nevertheless be construed as though this communication had been so acknowledged.

THE GATEWAY DEVELOPMENT COMMISSION

Anthony Gardner
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The Gateway Development Commission

