

THE GATEWAY DEVELOPMENT COMMISSION

June 30, 2023

ADDENDUM NO. 2

TO PROSPECTIVE RESPONDENTS **GDC23-003** –Hudson River Ground Stabilization Request for Qualification ("RFQ")

This constitutes Addendum No. 2 to The Gateway Development Commission, Hudson River Ground Stabilization project - RFQ# GDC23-003.

Below are questions and/or requests for clarification received from potential proposers and GDC's responses. The questions are restated for convenience only, may have been edited for clarity and are not part of GDC's responses. GDC's responses do not modify the provisions of the RFQ (accept where indicated). GDC's responses are intended only to identify relevant Contract provisions and clarify any perceived ambiguities.

The following changes are hereby made in the Request for Qualifications for the subject Contract.

Question	RFQ	Question/Clarification	Response
No.	Section Reference		
1	1.3.3.	Please confirm which M/W/DBE directory and/or directories will be used to determine M/W/DBE contractor status for this project.	At this time, GDC anticipates that the following Unified Certification Programs (UCPs) will be acceptable (see websites as follows): New York UCP — https://nysucp.newnycontracts.com/ New Jersey UCP — https://njucp.dbesystem.com/ GDC reserves the right to make changes to these requirements. Applicable goals and further details regarding the DBE requirements will be included as part of the RFP stage.
2	1.3.3.	Please confirm if the PANYNJ M/W/DBE directory is acceptable for this project.	At this time, GDC anticipates that the following Unified Certification Programs (UCPs) will be acceptable (see websites as follows): New York UCP — https://nysucp.newnycontracts.com/ New Jersey UCP — https://njucp.dbesystem.com/ GDC reserves the right to make changes to these requirements. Applicable goals and further details regarding the DBE requirements will be included as part of the RFP stage.

Question No.	RFQ Section Reference	Question/Clarification	Response
3	1.3.3.	Please confirm the allowable M/W/DBE participation percentage recognized by the GDC for a M/W/DBE certified General Contractor on this project.	Applicable goals and further details regarding the DBE requirements will be included as part of the RFP stage.
4	1.2.	Please provide a copy of referenced USACE Permit NAN-2020-00835	The permit has now been provided in the Virtual Data Room.
5	Appendix 9, 5.a.vii	"Experience related to the Project scope on similar," Please clarify "missing word?	The sentence is revised to say "Experience related to the Project scope on similar projects, please discuss risks the Respondent considers the most relevant and critical and your approach to managing the Project's risks. Describe the role that the Respondent expects the Commission or Project Stakeholders may have in addressing these Project risks."
6	N/A	Will the D/M/WBE Engineering, CM and QA/QC subconsultants who participate in the Program Management contract or in the Amtrak Delivery Partner contract, be precluded from this and other future solicitations?	Any consultant firm that is selected and retained in connection with Amtrak Solicitation No. RFQ X048-23093 Hudson Tunnel Project Delivery Partner are considered to have an actual or potential conflict of interest and, except otherwise determined in writing by the Commission in accordance with the Commission's Code of Conduct, will be precluded from participating on a Respondent team or acting as a consultant to a Respondent.
7	1.1	Please clarify whose responsibility (the Authority or the Contractor) it will be to renew USACE Permit NAN-2020-00835 when it expires on 15NOV26, prior to the expected substantial completion	Amtrak and New Jersey Transit, as joint copermittees, will renew the permit.

Question No.	RFQ Section Reference	Question/Clarification	Response
8	2.5	There are folders located on the procurement portal that are empty, please provide a list of documents provided by the Gateway Development Commission to ensure we have received all documents that have been issued.	Access to the Procurement Portal will not be necessary for the RFQ stage. All materials, including addenda, will be emailed from procurement@gatewayprogram.org and will be posted on the public website (https://www.gatewayprogram.org/workwithus-2.html). All SOQ materials should be submitted directly to procurement@gatewayprogram.org by the deadlines indicated. SOQs will only be accepted from Respondents that have submitted a complete Expression of Interest.
9	1.1	DEC Permit issued was # 2-6205-01829/0005 but referenced in the USACE permit is 2-6205-01829/00004. Please provide the additional permit	NYSDEC Permit No. 2-6205-01829/00005 as referenced on the Oct. 1, 2021 NYSDEC permit cover letter actually also includes Permit No. 2-6205-01829/00004 and Permit No. 2-6205-01829/00006 (See Page 1 of 8 of NYSDEC Permit). Therefore, all NYSDEC permits (Permit No. 2-6205-01829/00004, Permit No. 2-6205-01829/00005 and Permit No. 2-6205-01829/00006) and their conditions are all included in the Final NYSDEC Permit (Pages 1 thru 8 of 8) for Facility DEC ID 2-6205-01829 (see header of NYSDEC Permit). USACE permit only references Permit No. 2-6205-01829/00004 which is the Section 401 Water Quality Certification. As such, there is no additional permit to be provided. All NYSDEC permits have been provided.
10	8.3.b	The RFQ allows 11x17 for organization charts. Can 11x17 pages be used for other graphics or drawings and count as one page?	11" x 17" sized-equivalent can be used for other graphics or drawings and will count as one page, similar to organizational charts.
11	N/A	Please provide the PowerPoint presentation from the informational meeting held on 6/21/2023 at 10:00am EST.	The presentation from the 6/21/23 informational meeting has been provided on the GDC website: https://www.gatewayprogram.org/workwithus-2.html
12	N/A	Please provide a list of attendees from the informational meeting held on 6/21/2023 at 10:00am EST.	The list of attendees from the informational meeting held on 6/21/2023 has been posted on the GDC website.: https://www.gatewayprogram.org/workwithus-2.html

Question No.	RFQ Section Reference	Question/Clarification	Response
13	1.3.3	Will the Gateway Development Commission be hosting an outreach event pertaining to networking with DBE Subcontractors?	The Gateway Development Commission plans to host an outreach event for shortlisted proposers to network with DBE Subcontractors during the RFP process.
14	Appendix 2	We request that the "Deadline for Registration of Submittals by the Respondents" be extended to be due on 7/12/2023, the same day as the SOQ Submission.	Yes, Registration Submittals will be accepted through the same day and time as the Deadline for SOQ Submission.
15	Appendix 2	We request that the "Deadline for SOQ submission by Respondents (SOQ Due Date)" be extended 4 weeks to Wednesday August 9th, 2023. This date push is necessary to allow for teaming internal processes to be finalized. This time extension will allow for Good Faith Efforts to be made to Disadvantaged Business Enterprises to join project teams in the early stages of the procurement process.	Yes, the Deadline for SOQ Submission by Respondents (SOQ Due Date) will be extended. The Deadline will be July 26, 2023 at 2 pm.
16	9.2.3(b) and Appendix 9 (Key Personnel table on page 63).	The RFQ lists "Design Manager(s)" as a key position, seemingly allowing more than one person in this role. The Minimum Qualifications for the position further states: "The Design Manager or additional subject matter expert Key Personnel" Please clarify if there are quantity limitations to number of submitted Form T-3s for this and other roles and if respondents may list more than one person for this role.	The (s) has been deleted. Only one person can be proposed as the Design Manager. However, additional T-3s will be allowed for additional subject matter experts to support the Design Manager if the proposed Design Manager does not possess all of the minimum qualifications.

Question No.	RFQ Section Reference	Question/Clarification	Response
17	1.1	USACE Permit references "the attached dated today permit drawings" will GDC issue these drawings for reference?	Required drawings will be provided during the RFP phase.
18	Appendix 7	Please clarify if Page limits outlined in Appendix 7 for the SOQ Volume 2 - Technical Submittals apply cumulatively to information submitted in Volume 2 and Volume 3 Confidential Technical Submittals, or if there are separate page limits for each Volume	The Page limits outlined in Appendix 7 for the SOQ Volume 2 – Technical Submittals apply cumulatively to information submitted in Volume 2 and Volume 3 Confidential Technical Submittals.
19	1.1	COE Permit NAN-2020- 0835. Includes "as shown on the attached permit drawings" There are no drawings attached to the copy of the permit we received.	Please see the response to Question #17.
20	1.1	NYSDEC Permit No 2-6205-01829/00005. Contains 'Conformance with Plans All activities must be in strict conformance with the approved plans" There are no drawings attached to the copy of the permit we received	Required drawings will be provided during the RFP phase.

Question No.	RFQ Section Reference	Question/Clarification	Response
21	Appendix 4, Insurance	Insurance limits are not specified in the RFQ for the DB contractor's lead designer. Can you provide the insurance limits required for professional liability, general liability, pollution liability, and any other required insurance policies specific to design firms? Can a project-specific professional liability policy be used to fulfill the professional liability coverage requirements?	A Project Specific Professional Liability policy will be allowed to fulfill the Professional Liability requirement and all other insurance requirements and limits will be expressed in the subsequent RFP document.
22	N/A	Will a Project Labor Agreement be in place for execution of the work?	As indicated in the RFQ, the Commission is assessing whether requiring a project labor agreement ("PLA") for the project effectuates the Commission's purpose and best meets its public interests. The Commission will be utilizing a consultant to assist with evaluating the scope of the project and whether requiring a PLA would promote labor harmony, in addition to advancing the skilled labor force, generating cost savings, and enhancing efficiency, quality, safety, and timeliness. The Commission anticipates completing this work in advance of the issuance of the RFP.
23	1.1	Please confirm that the ground improvement operations can continue year-round within the cofferdam/containment system under the restrictions placed in the USACE and DEC Permits. Please confirm that the only restricted activity is the installation/removal of the cofferdam/ containment system.	Yes, this is confirmed. However, all work must be in accordance with stipulations of the permit.
24	9.2.3	Will RFP include performance requirements of ground improvement treatment.	Yes, the RFP will include performance requirements for ground improvement treatment.

Question No.	RFQ Section	Question/Clarification	Response
25	Reference 2.5	Please provide access to the procurement portal for the point of contact provided on updated Expression of Interest letters in a timely manner.	Access to the Procurement Portal will not be necessary for the RFQ stage. All materials, including addenda, will be emailed from procurement@gatewayprogram.org and will be posted on the public website (https://www.gatewayprogram.org/workwithus-2.html). All SOQ materials should be submitted directly to procurement@gatewayprogram.org by the deadlines indicated. SOQs will only be accepted from Respondents that have submitted a complete Expression of Interest.
26	Form T-2	The instructions for Form T-2 Subcontractor Information states that: "At a minimum, list all Principal Participants a." This appears to be missing text at the end of the bullet. We would suggest editing to: "At a minimum, list all subcontractors and subconsultants for all Principal Participants" since it doesn't seem the intention of this form to list Principal Participants on this form.	This sentence is revised to say: "At a minimum, list all Principal Participants."
27	Form T-2	The instructions for Form T-2 Subcontractor Information states that: "The term 'subcontractor' includes consultants." Does that include the lead designer?	Yes, the term 'subcontractor' includes lead designer. But the subcontract is also a Principal Participant.
28	Form T-6	Can GDC define what is meant by "prime consultant" for purposes of Form T-6?	The prime consultant would be the entity holding the contract with the Owner.

Question No.	RFQ Section Reference	Question/Clarification	Response
29	Form T-5	Form T-5 requires safety information be submitted for all key personnel. We ask that you limit this requirement to only be asked regarding the Project Manager, Construction Manager, and Safety Manager as it is difficult and, in some cases, not possible to obtain the information regarding the statistics requested for the other team members.	The Key Personnel information requirements for Form T-5 is revised to be limited to Project Manager, Construction Manager, and Safety Manager.
30	Appendix 9 / 3.(a)	Section 3.(a) of Appendix 9 states that the Respondent must provide a summary of the background and relevant experience of each of the entities comprising the Respondent, including but not limited to the Contractor and Designer. In addition to the Contractor and Designer, can we also submit a three-page summary for each Principal Participant, as defined on page 36 of the RFQ?	Yes, a three-page summary can also be submitted for each Principal Participant.
31	Appendix 9 / 4.(a)	Section 4.(a) of Appendix 9 states that Form T-1 shall be submitted from the Respondent and from each Principal Participant, if different from the Respondent. However, Form T-1 instructs to provide Form T-1 "from each of the entities comprising the Contractor or Designer". Please clarify that all Participant Participants must submit Form T-1.	A Form T-1 shall be submitted for the Respondent and from each of the entities comprising the Contractor or Designer, if different from the Respondent. Section 4.(a) of Appendix A is revised to align with the requirements outlined in Form T-1.

Question No.	RFQ Section Reference	Question/Clarification	Response
32	Appendix 9 / 4.(d)	Section 4.(d) of Appendix 9 states that the Form T-5 should be completed by the Respondent and each entity comprising the Contractor and the Designer. On page 98 of the RFQ, it states that Form T-5 shall be submitted for "the Respondent and each entity comprising the Principal Participant." Please clarify if T-5 should be submitted by each Principal Participant, as defined by page 36 of the RFQ.	The RFQ is revised to clarify that Form T-5 shall be completed by the Respondent and each entity comprising the Contractor.
33	Form T-2	Regarding Form T-2 on page 90 of the RFQ, please clarify what is meant by the second bullet: "At a minimum, list all Principal Participants a."	This sentence is revised to say: "At a minimum, list all Principal Participants."
34	2.5	It is being requested that each Respondent's primary contact person be notified via email when new documents are released to the Virtual Data Room.	Respondents are advised to check the VDR on a regular basis.

Question No.	RFQ Section Reference	Question/Clarification	Response
35	Form T-3	As shown on the second page of Form T-3 (Page 93 of the RFQ), references provided (one for each of the projects lists) must be owners or clients for whom the individual has performed project work in the past 10 years. Since some of the positions (i.e. Construction Manager, Ground Improvement Lead, Design Manager) allow us to demonstrate experience outside of the 10-year range, please confirm that the 'Relevant project experience' [under bullet (d) in Form T-3] is not limited to projects from the past 10 years.	The sentence referenced in Form T-3 is revised to say "References must be owners or clients for whom the individual has performed project work consistent with the specific Key Personnel requirements outlined in Appendix 9 (SOQ Volume 2 – Technical Submittals) and shall not be current or past employers of the individual."
36	Appendix 9/2.(c)	For the Construction Manager Project Role, please confirm it is acceptable to fulfill the minimum requirements for the specified qualifications by staffing two Key Personnel, a Marine Operations Construction Manager and a Ground Improvement Construction Manager.	The Minimum Qualifications for Construction Manager is supplemented with the following clarification: If the qualifications for Construction Manager are met by two individuals, both individuals need to meet the requirements individually for their subject matter area (marine operations or ground improvement). The SOQ submission must clearly identify who holds the overall Construction Manager responsibility and specify the reporting relationship between both.
37	Appendix 3	Please confirm ground improvements confined within a fully installed containment structure may progress during the Seasonal Work Restriction Window of January 21 to June 30.	Yes, this is confirmed. However, all work must be in accordance with stipulations of the USACE permit and contract documents.

Question No.	RFQ Section Reference	Question/Clarification	Response
38	RFQ Cover	As mentioned in the Informative Session and Q&A meeting, please confirm that the deadline for the Registration Submittals is moved to July 12, 2023 at 2pm ET.	Yes, Registration Submittals will be accepted through the same day and time as the Deadline for SOQ Submission.
39	Form A-2 & Form A- 4	Form A-2 and Form A-4 state the Entity type must "(check one box for the entity completing this Form)". As a single entity may be both the Respondent and the Contractor, can both boxes be checked on a single form or does the Commission require separate forms be submitted for the same entity?	Yes, more than one box can be checked on the single form.
40	Appendix 3 / 1.1.1 c & 1.2 a	Within Appendix 3, Project Description, the Ground Improvement test program is listed as an independent operation, separate from the performance of containment system installation. Please confirm that the intent is for the test program to be performed without the containment system in place.	The test program has to be within the limits of the containment system and in accordance with stipulations of the USACE permit and contract documents.
41	RFQ Cover	It is requested that the deadline for the SOQ submission be extended three weeks to allow for adequate time to prepare a best value submission.	Yes, the Deadline for SOQ submission by Respondents (SOQ Due Date) will be extended. The Deadline will be July 26, 2023 at 2pm.

Question No.	RFQ Section Reference	Question/Clarification	Response
42	Appendix 6 / 2.(a)	Please clarify if it is required to submit the Form F-1 for the Contractor and Guarantor, if applicable, within the Registration Submittals. It is understood that the Form F-1 does need to be submitted within SOQ Volume 4 - Financial Submittals.	Form F-1 is required to be submitted within SOQ - Volume 4 - Financial Submittals. It is not required to be submitted within the Registration Submittals.
43	RFQ Cover	It is requested that the deadline for questions/requests for clarification be extended one week to June 30, 2023 at 5pm ET.	Questions submitted after June 30, 2023 should be limited to clarification requests related to addenda issued.
44	Info Session	Is there a difference between M/WBE certification and DBE certification? If this project is funded through Federal funds, is it not DBE goals followed?	This procurement is subject to all USDOT Disadvantaged Business Enterprise regulations (Title 49 CFR Part 26). Applicable goals and further details regarding the DBE requirements will be included as part of the RFP stage.
45	Info Session	Is Program Management Consultant or CCM selected?	No, a Program Management Consultant or CCM has not been selected.
46	Info Session	Will this presentation be made available?	Please see the response to Question #11.
47	Info Session	We have sent several requests for access to the Data Room - but have not received any response. Can you confirm instructions for data room access?	If you have submitted an Expression of Interest to procurement@gatewayprogram.org and have not gained access to the Virtual Data Room, please use the online form (https://www.gatewayprogram.org/data-room-access-request-form-hudson-river-ground-stabilization-rfq.html) to submit your information.
48	Info Session	Can we get a list of interested prime firms? and the contacts. Where will they be published?	The lists of the attendees at various outreach sessions have been provided on the GDC website. (https://www.gatewayprogram.org/workwithus-2.html)
49	Info Session	Will this package eventually fall under remit of Engineering Delivery Partner when they are appointed?	GDC is the sponsor of the project.

Question No.	RFQ Section Reference	Question/Clarification	Response
50	Info Session	The Registration Submittal part of the RFQ was not addressed during this presentation - it is currently due on 7/7. Can this information be submitted with the SOQ response on the 12th or is this information required to be submitted on the 7th.	Please see the response to Question #14.
51	Info Session	Professional liability Insurance limits were identified at the April industry day meeting for the ground stabilization project as illustrative, indicative, but are apparently not specified in the RFQ. Can you provide.	Please see the response to Question #21.
52	Info Session	Does the GDC have LBE % goals, and if so, what is the percentage? What locations are considered to be local? Does the headquarter have to be located in this region, or can branch offices also qualify?	It is anticipated that the contract awarded as a result of this RFQ and subsequent RFP selection will be with federal funds for which DBE requirements would apply. As such, applicable goals and further details regarding the DBE requirements will be included as part of the RFP stage. LBE, MBE or WBE certification will not count toward DBE goals. Only firms with DBE certification will count toward DBE goals.
53	Info Session	Who is performing procurement prequalification?	GDC is the sponsor of the project.
54	Info Session	In 2006-2010, the geotechnical and structural design had been done, will you utilize that part of design now?	Drawings and geotechnical information will be provided as part of the RFP process.
55	Info Session	Can you speak to the Surety, Insurance, CCIP requirements, PLA, etc please? As this helps the candidate primes to do a go/no go and to team.	Additional details will be provided as part of the RFP process. Please also see the answer to Questions #21 and #22.

Question No.	RFQ Section Reference	Question/Clarification	Response
56	Info Session	DBE firms may not be able to take a lead role unless the GDC facilitate event of match making. Is any planned in near future?	Please see the response to Question #13.
57	Info Session	What type of testing and or certifications will be done by the GDC to assure ground suitability for the future tunneling contract? Any buy America requirements for the temporary materials such as the king piles and sheeting?	More information about the design work and related details will be provided as part of the RFP phase.
58	Info Session	Is a DBE from Port Authority valid for this project? or do we need to get NJDOT DBE certification letter?	At this time, GDC anticipates that the following Unified Certification Programs (UCPs) will be acceptable (see websites as follows): New York UCP — https://nysucp.newnycontracts.com/ New Jersey UCP — https://njucp.dbesystem.com/ GDC reserves the right to make changes to these requirements. Applicable goals and further details regarding the DBE requirements will be included as part of the RFP stage.
59	Info Session	How far above the bottom of the Hudson is the cofferdam required to project?	More information about the design work and related details will be provided as part of the RFP phase.
60	Info Session	Will the MWDBE Engineering and CM Subconsultant who participate in the program management contract be precluded from this solicitation?	Please see the response to Question #6.
61	Info Session	The RFQ restricts cofferdam installation from January 21 through June 30th. The ACOE Permit appears to limit in water work from March 1 through June 30th. Will the RFQ be revised to match the ACOE Permit March 1-June 30 in water restriction window?	More information about the design work and related details will be provided as part of the RFP phase.

Question No.	RFQ Section Reference	Question/Clarification	Response
62	Info Session	Is the 600' maximum allowable length of the containment barrier driven by navigational concerns or is there other rational? Why not just install the containment system as a single 1,200' length?	Requirements are driven by the USACE permit.
64	Info Session	What is the purpose of the cofferdam? Can a contractor perform grouting without the cofferdam?	No, the cofferdam is required.
65	Info Session	If there is no decision on selection of PMC, how would M/W/DBE firms know if they are conflicted or not?	Any consultant firm that is selected and retained in connection with Amtrak Solicitation No. RFQ X048-23093 Hudson Tunnel Project Delivery Partner are considered to have an actual or potential conflict of interest and, except otherwise determined in writing by the Commission in accordance with the Commission's Code of Conduct, will be precluded from participating on a Respondent team or acting as a consultant to a Respondent.

Each Respondent shall acknowledge in its SOQ Submittal Letter (Form A-3) receipt of all Addenda. In case any Respondent fails to conform to these instructions, the Respondent's SOQ will nevertheless be construed as though this communication had been so acknowledged.

THE GATEWAY DEVELOPMENT COMMISSION

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